

Substitute Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-928001	Application No. 10/772,218
		Applicant Anatoliy Savchenkov et al.		
		Filing Date February 3, 2004	Group Art Unit 2872 2874	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
JDZ	AA	5723856	3/3/1998	Yao, et al.	250	227.11	
JDZ	AB	5777778	7/7/1998	Yao	359	245	
JDZ	AC	5917179	6/29/1999	Yao	250	227.11	
JDZ	AD	5929430	7/27/1999	Yao, et al.	250	205	
	AE	6138076	3/18/2003	Yao			
JDZ	AF	2002/0018611	2/14/2002	Maleki, et al.	385	15	
JDZ	AG	6389197	5/14/2002	Iltchenko, et al.	385	28	
JDZ	AH	6417957	7/9/2002	Yao	359	334	
JDZ	AI	6473218	10/29/2002	Maleki, et al.	359	245	
JDZ	AJ	6476959	11/5/2002	Yao	359	334	
JDZ	AK	6487233	11/26/2002	Maleki, et al.	372	108	
JDZ	AL	6488861	12/3/2002	Iltchenko, et al.	216	24	
JDZ	AM	6490039	12/3/2002	Maleki, et al.	356	436	
JDZ	AN	2003/0012504	1/16/2003	Iltchenko	385	39	
JDZ	AO	6535328	3/18/2003	Yao	359	334	
JDZ	AP	6567436	5/20/2003	Yao, et al.	372	32	
JDZ	AQ	6580532	6/17/2003	Yao, et al.	398	39	
JDZ	AR	6594061	7/15/2003	Huang, et al.	359	239	
JDZ	AS	6762869	7/13/2004	Maleki, et al.	359	239	
	AT						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	INT. Class	INT. Subclass	Translation Yes      No
JDZ	AU	WO0196936	12/20/2001	WIPO	G02F	1/035	—
	AV						
	AW						
	AX						

Examiner Signature <i>John D. Lee</i>	Date Considered <i>02 NOVEMBER 2005</i>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-928001	Application No. 10/772,218
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Anatoliy Savchenkov et al.	
		Filing Date February 3, 2004	Group Art Unit 2872 2874

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes      No
	AY						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
<i>JDL</i>	AZ	Dan Sadot, et al.; Tunable Optical Filters for Dense WDM Networks; Dec. 1998; IEEE Communications Magazine, pps. 50-55
<i>JDL</i>	AAA	Jaafar M.H. Elmirghani, et al.; Technologies and Architectures for Scalable Dynamic Dense WDM Networks; Feb. 2000; IEEE Communications Magazine, pps. 58-66
<i>JDL</i>	ABB	Vladimir S. Ilchenko, et al.; Whispering-gallery-mode electro-optic modulator and photonic microwave receiver; Feb. 2003; J. Opt. Soc. Am. B, Vol. 20, No. 2, pps. 333-342
<i>JDL</i>	ACC	L.E. Myers, et al.; Quasi-phase-matched optical parametric oscillators in bulk periodically poled LiNbO <sub>3</sub> ; November 1995; J.Opt. Soc. Am. B/Vol. 12, No. 11; pps. 2102-2116

Examiner Signature <i>John D. Lee</i>	Date Considered <i>02 NOVEMBER 2005</i>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-928001	Application No. 10/772,218
<b>Information Disclosure Statement by Applicant (Use several sheets if necessary)</b>		Applicant Anatoliy Savchenkov et al.	
(37 CFR §1.98(b))		Filing Date February 3, 2004	Group Art Unit 2872 2874

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
JDZ	AA	2002/0044739	4/18/2002	Vahala et al.	385	30	
JDZ	AB	6580851	6/17/2003	Vahala et al.	385	30	
JDZ	AC	2003/0231826	12/18/2003	Boyd et al.	385	27	
	AD						
	AB						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes      No
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature <i>John D. Lee</i>	Date Considered 02 NOVEMBER 2005
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)